

ERIE COUNTY WATER AUTHORITY
AUTHORIZATION FORM
For Approval/Execution of Documents
(check which apply)

Contract: _____ **Project No.:** 202000143
Project Description: Machining and Fabrication Services for the Repair of Various
Erie County Water Authority Equipment from January 1, 2021 to December 31, 2022

Item Description:




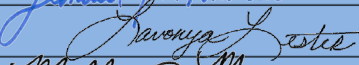


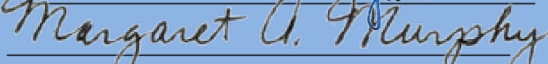
☐ Agreement ☐ Professional Service Contract ☐ Amendment ☐ Change Order
☐ BCD ☐ NYSDOT Agreement ☒ Contract Documents ☐ Addendum
☒ Recommendation for Award of Contract ☐ Recommendation to Reject Bids
☐ Request for Proposals
☐ Other _____

Action Requested:

☒ Board Authorization to Execute ☒ Legal Approval
☒ Board Authorization to Award ☒ Execution by the Chairman
☐ Board Authorization to Advertise for Bids ☐ Execution by the Secretary to the Authority
☐ Board Authorization to Solicit Request for Proposals
☐ Other _____

Approvals Needed:

APPROVED AS TO CONTENT:

<input checked="" type="checkbox"/> Sr. Production Engineer		Date: 1/13/2021
<input checked="" type="checkbox"/> Chief Operating Officer		Date: 1/13/2021
<input checked="" type="checkbox"/> Executive Engineer		Date: 1/13/2021
<input checked="" type="checkbox"/> Director of Administration		Date: 1/13/2021
<input checked="" type="checkbox"/> Risk Manager		Date: 01/13/2021
<input checked="" type="checkbox"/> Chief Financial Officer		Date: 01/13/2021
<input checked="" type="checkbox"/> Legal		Date: 01/13/2021

APPROVED FOR BOARD RESOLUTION:

☒ Secretary to the Authority  Date: 01/13/21

Remarks: Unit price contract.

Resolution Date: _____

Item No: _____



ERIE COUNTY WATER AUTHORITY

INTEROFFICE MEMORANDUM

January 11, 2021

To: Terrence D. McCracken, Secretary to the Authority

From: Clayton J. Johnson, Production Engineer *CJJ*

Subject: Machining and Fabrication Services for the Repair of Various ECWA Equipment
From January 1, 2021 to December 31, 2022
ECWA Project No. 202000143

On Tuesday, November 24, 2020, the Authority received three (3) bids for the above referenced contract. The bids have been reviewed, a mathematical check has been performed, and the low bidder is Frederick Machine & Manufacturing, Inc. The second low bidder is Edwin J McKenica & Sons, Inc.

In regards to the lowest and second lowest bidders (from Contract documents):

If the Authority has requested work and the Contractor has determined that it will not be able to complete the work in the time frame requested by the Authority, the Contractor shall immediately notify the Authority's Executive Engineer by email. If the Authority determines the work to be an emergency, the Authority will then proceed to assign the work to the Emergency Contractor, EDWIN J. McKENICA & SONS, INC, and prepare a Declaration of Emergency pursuant to the Authority's procurement rules.

Both above referenced contractors have performed similar work for the Authority in the past and have proven to be competent contractors performing the work in an acceptable manner. They both have a good understanding of the scope and requirements for this contract.

The WMBE and apprenticeship requirements are not applicable for this contract and both contractors have provided adequate proof of insurance, approved by the Authority's Claims Representative/Risk Manager.

We, therefore, recommend award of the above referenced contract to Frederick Machine & Manufacturing, Inc. and Edwin J McKenica & Sons, Inc. in the amounts of:

- 1. Frederick Machine & Manufacturing, Inc.**
 - a. Services and Equipment – \$78,598.50
 - b. Materials - In an amount not to exceed \$20,000.00
- 2. Edwin J McKenica & Sons, Inc. (Emergency Contractor)**
 - a. Services and Equipment – \$20,000.00
 - b. Materials – In an amount not to exceed \$5,000.00

Budget Information:

Unit: 1020 Control Operations

O&M Budget Item No. 19 Payments to Contractors - Other

Attached please find the following documents:

1. ECWA Authorization Form.
2. ECWA Recommendation for Award of Contract form.
3. Bid Tabulation.
4. Three copies of Project Manual per contractor for execution by Authority's Chairman.

CJJ:jmf

Attachments

cc: L.Kowalski

M.Wymer

L.Lester

ECWA-543-2001-X-12

**ERIE COUNTY WATER AUTHORITY
RECOMMENDATION FOR AWARD OF CONTRACT**

Contract: _____ Project No.: 202000143
Project Description: Machining and Fabrication Services for the Repair of Various
Erie County Water Authority Equipment
From January 1, 2021 to December 31, 2022.

CONTRACT AWARD

1 Contractor/Supplier: Frederick Machine & Manufac., Inc..
Award Amount: \$78,598.50 (Services & Equipment) + \$20,000.00 (Material Allocation) = \$98,598.50
2 Contractor/Supplier: Edwin J. McKenica & Sons, Inc.
Award Amount: \$20,000.00 (Services & Equipment) + \$5,000.00 (Material Allocation) = \$25,000.00

BID SUMMARY

Date Advertised for Bids: <u>11/02/2020</u>	Date of Bid Opening: <u>11/24/2020</u>
Bidder	Total Bid Amount
<u>Frederick Machine & Manufacturing, Inc.</u>	<u>\$78,598.50</u>
<u>Edwin J. McKenica & Sons, Inc.</u>	<u>\$79,788.00</u>
<u>Metal Locking Service, Inc.</u>	<u>\$83,200.00</u>
_____	_____
_____	_____
_____	_____

Attachments: ☒ Bid Tabulation ☐ Consultant's Recommendation

APPROVALS (Select applicable)

☐ WMBE APPROVAL
Affirmative Action Officer _____ Date _____

☒ INSURANCE APPROVAL
Claims Rep/Risk Manager Molly Jo Musarra Date 1/11/2021

☐ NYS CERTIFIED APPRENTICESHIP
PROGRAM APPROVAL
Coordinator of Employee Relations _____ Date _____

Remarks: _____

EMERGENCY
Machining and Fabrication Services
for the Repair of Various
Erie County Water Authority Equipment
From January 1, 2021 to December 31, 2022

Project No. 202000143

Erie County Water Authority
3030 Union Road
Cheektowaga, New York 14227



**EMERGENCY MACHINING AND FABRICATION SERVICES FOR
THE REPAIR OF VARIOUS ERIE COUNTY WATER AUTHORITY EQUIPMENT
FROM JANUARY 1, 2021 to DECEMBER 31, 2022**

This Agreement, effective January 21, 2021 (“Effective Date”), is by and between

ERIE COUNTY WATER AUTHORITY

295 Main Street, Room 350
Buffalo, New York 14203

hereinafter referred to as the “Authority,” and

EDWIN J. McKENICA & SONS, INC.

1200 Clinton Street
Buffalo, New York 14206

hereinafter referred to as the “Emergency Contractor.”

The Authority seeks to enter into a contract with the Emergency Contractor to furnish, upon request, specific machining and fabrication services for various Authority equipment upon the terms and conditions stated in this Agreement.

In consideration of the mutual promises set forth in this Agreement, the Authority and the Emergency Contractor agrees as follows:

ARTICLE 1 – SCOPE OF WORK

1.01 The Emergency Contractor agrees to supply all labor, materials and equipment necessary for machining and fabrication services for Authority equipment pursuant to the Bid Specifications which are attached at Appendix B when the Authority’s Contractor, FREDERICK MACHINE & MANUFACTURING, INC. (the “Contractor”) has notified the Authority it is unable to complete the work requested by the Authority in the time requested by the Authority.

1.02 In response to the Authority’s Invitation to Bid, the Emergency Contractor submitted and signed Bid Documents and Proposal, a copy of which is attached to, and incorporated in, this Agreement as Appendix A.

1.03 Bid Specifications set forth in the Invitation to Bid are incorporated in this Agreement as Appendix B.

1.04 The Emergency Contractor agrees that all work under this Agreement will be at request and direction of the Authority’s Pump Mechanic Crew Chief (the “Crew Chief”) or a representative of the Authority as designated by the Authority’s Executive Engineer. All work

under this Agreement will only be assigned to the Emergency Contractor after the Contractor has provided the Authority with written notification of its inability to perform the service requested.

1.05 Before work begins on a task or assignment, the Emergency Contractor agrees to submit a scope of work and cost estimate to complete the work. No work should proceed until the Executive Engineer has approved the estimate after consulting with the Crew Chief.

1.06 All materials must be new, unused and approved by the Authority.

1.07 The Authority retains the right to be on-site at any time for inspection of the work. All parts shall be fabricated to within 0.005” of the requested dimensions.

ARTICLE 2 – COMPLIANCE

2.01 The Authority and the Emergency Contractor shall comply with all applicable federal, state or local laws and regulations and all applicable Authority policies and procedures.

2.02 The Emergency Contractor shall comply with the provisions set forth in Public Authorities Law §§ 2875, 2876, and 2878 of the laws of the State of New York.

2.03 In response to the Authority’s Invitation to Bid, the Emergency Contractor signed and submitted, in accordance with the provisions set forth in the State Finance Law, Forms A, B, and C, a copy of which is attached to, and incorporated in, this Agreement as Appendix A.

2.04 By executing this Agreement, the Emergency Contractor affirms under the penalties of perjury that there was no collusion in the bid submitted to the Authority, upon which forms the basis of this Agreement.

2.05 The Emergency Contractor shall comply with the provisions of State Finance Law § 139-L of the laws of the State of New York. In response to the Authority’s Invitation for Bids, the Supplier submitted and signed the Sexual Harassment Bidding Certification, a copy of which is attached to, and incorporated in, this Agreement, as Appendix A.

2.06 The Emergency Contractor shall comply with the provisions of the Shield Act, codified at General Business Law § 899-aa of the laws of the State of New York.

2.07 If the Emergency Contractor, its employees, agents and subcontractors wish to access any Authority property, they must notify the Authority’s Security Officer and provide the following information: (a) government-issued photo identification for all site visitors; (b) a detailed statement indicating the purpose for the site visit; (c) the proposed date and time for the site visit; (d) the estimated start and finish time for the site visit; and (e) any additional information reasonably requested by the Authority’s Security Officer. No person may visit any Authority property without the authorization of the Authority and/or its Security Officer. While on Authority property, the Emergency Contractor’s employees and representatives shall comply with the specific applicable security and access rules established by the Authority’s Security Officer.

2.08 Health Screening Questionnaire: The State of New York (the “State”) has declared a state of emergency due to the COVID-19 pandemic. The Authority is currently operating under a Declaration of Emergency. While the Authority continues to operate under the Declaration of Emergency, the Engineer, its employees, and agents shall comply with all health safety rules and regulations adopted by the State or the Authority including, but not limited to, completing an online health screening questionnaire before entering any Authority worksite.

ARTICLE 3 – PAYMENT FOR MACHINING AND FABRICATION SERVICES

3.01 The Emergency Contractor agrees to accept payment at an hourly rate, which includes labor, tools, equipment, overhead and profit.

3.02 The Emergency Contractor shall submit invoices to the Authority, detailing the hours and description of the services rendered to the Authority, as well as expenses incurred, in a form acceptable to the Authority’s Chief Financial Officer and/or Comptroller. The Emergency Contractor will be responsible for completing all work covered by this Agreement.

3.03 Costs for the services provided under this Agreement shall be billed at the following hourly rates:

CNC Lathe Work	\$ 43.60
CNC Mill Work	\$ 43.60
Water Jet Work	\$ 43.60
Hydraulic Press Work	\$ 43.60
Welder	\$ 43.60
Babbitt Bearing Work	\$ 43.60

3.04 Payments for services and equipment will be based on detailed actual hours worked with a total cost not to exceed \$20,000.00.

3.05 The Emergency Contractor will supply the Authority with copies of paid receipts for materials and will be reimbursed for the materials at the rate of cost plus 5% with a total cost not to exceed \$5,000.00.

3.06 All work that is performed by a subcontractor must be preapproved by the Authority’s Executive Staff.

3.07 The Authority is exempt from taxation. The Emergency Contractor shall not invoice the Authority for any state or local excise, sales, use, freight or transport or any other form of tax unless the laws of the State of New York specifically levies such tax on a public benefit corporation.

3.08 The Authority reserves the right to audit the Emergency Contractor’s records to verify bills submitted and representations made. For this purpose, the Emergency Contractor agrees to make company records available for inspection upon written notice by the Authority. The Authority shall have two years from the date of the Emergency Contractor’s final bill to complete its audit. If the audit establishes an overcharge, the Emergency Contractor agrees to refund the excess.

ARTICLE 4 – GENERAL PROVISIONS

4.01 Subcontract and Assignments: The Emergency Contractor may not subcontract or delegate any of the obligations of the Emergency Contractor without the express written consent of the Authority's Executive Staff. The Authority and the Emergency Contractor bind themselves and their successors, administrators and assigns to the terms of this Agreement.

4.02 Amendments: No modification or variation from the terms of this Agreement shall be effective unless it is in writing and authorized by a resolution of the Board of Commissioners of the Authority and signed by all parties.

4.03 Right to Terminate: The Authority reserves the right to terminate the Emergency Contractor's procurement at any time, without cause, based on seven (7) days' written notice. The Emergency Contractor shall not be entitled to lost profit and shall furnish and deliver only such materials and supplies, after notification of termination, as the Authority directs.

4.04 Indemnification:

- A. To the fullest extent permitted by law, the Emergency Contractor agrees to indemnify and hold the Authority harmless from all third party claims, liabilities, damages and costs (including all reasonable attorney's fees, and cost of defense) to which the Authority, its officers, directors and employees may be subject to, arising out of the death or bodily injury to any person or the destruction or damage to any property to the extent caused by the negligent acts, errors or omissions, or willful misconduct of the Emergency Contractor's performance under this Agreement and those of its subcontractors or anyone for whom the Emergency Contractor is legally liable.
- B. To the fullest extent permitted by law, the Authority agrees to indemnify and hold the Emergency Contractor harmless from all third party claims, liabilities, damages and costs (including all reasonable attorney's fees and cost of defense) to the extent caused by the negligent acts, errors or omissions of the Authority, its contractors, engineers, or anyone for whom the Authority is legally liable.

4.05 Insurance:

- A. The Emergency Contractor shall secure and maintain such insurance as will protect itself from claims under the Workers' Compensation Act; claims for damages because of bodily injury, including personal injury, sickness or disease, or death of any of its employees or of any person other than its employees; and from claims for damages because of injury to or destruction of property including loss of use resulting therefrom in the amounts indicated on Appendix C.
- B. The Emergency Contractor shall provide and maintain insurance that will provide coverage for claims arising out of the negligent performance of this Agreement.
- C. The Emergency Contractor shall provide Certificates of Insurance certifying the coverage required by this provision.

- D. The Emergency Contractor shall provide the name of an employee who will be responsible for providing the Authority with current and updated Certificates of Insurance. The Authority will require the name of the employee, the employee's phone number and email address.

4.06 Warranty: Unless otherwise stated in this Agreement, the Emergency Contractor agrees that the warranties as prescribed by the laws of the State of New York are and will remain in effect; that this warranty and the time to exercise said warranty in effect at the time of the breach, if any, caused by any breach or by any hidden or latent defect will be as prescribed by the laws of the State of New York. The Emergency Contractor's obligation under this section is independent of any other obligations stated in this Agreement.

4.07 New York Law and Jurisdiction: Notwithstanding any other provision of this Agreement, any dispute concerning any question of fact or law arising under this Agreement which is not disposed of by agreement between the Emergency Contractor and the Authority shall be governed, interpreted and decided by a court of competent jurisdiction of the State of New York in accordance with the laws of the State of New York.

4.08 Conflicts of Interest: The Emergency Contractor represents that it has advised the Authority in writing prior to the date of signing this Agreement of any relationships with third parties, including competitors of the Authority, which would present a conflict of interest with the rendering of the services, or which would prevent the Emergency Contractor from carrying out the terms of this Agreement or which would present a significant opportunity for the disclosure of confidential information. The Emergency Contractor will advise the Authority of any such relationships that arise during the term of this Agreement. The Authority shall then have the option to terminate the Agreement without being subject to further obligations under its terms, except for the payment for material and supplies already furnished by the Emergency Contractor. So long as the Emergency Contractor reports such a conflict as required by this section, the Emergency Contractor will have no further obligations under the terms of this Agreement.

4.09 Additional Conditions: The Emergency Contractor and the Authority acknowledge that there may be additional conditions, terms and provisions which shall apply specifically to the delivery of materials and supplies to be furnished. The parties agree to negotiate in good faith to agree upon such additional terms.

4.10 Entire Agreement: This Agreement constitutes the entire understanding of the parties and no representations or agreements, oral or written, made prior to its execution shall vary or modify the terms herein. This Agreement supersedes all prior contemporaneous communications, representations, or agreements, whether oral or written with respect to the subject matter hereof and has been induced by no representations, statements or agreements other than those herein expressed. No subsequent agreement made between the parties shall be binding on either party unless reduced to writing and signed by an authorized officer of the party sought to be bound by such agreement.

4.11 Independent Status: Nothing contained in the Agreement shall be construed to render either the Authority or the Emergency Contractor, an owner, member, officer, partner, employee or agent of the other, nor shall either party have authority to bind the other in any manner, other

than as set forth in this Agreement, it being intended that the Emergency Contractor shall remain an independent contractor responsible for its own actions. The Emergency Contractor is retained by the Authority only for the purpose and to the extent set forth in this Agreement.

4.12 Doing Business Status: The Emergency Contractor represents it is qualified to do business in the State of New York and has registered with the New York Secretary of State.

4.13 Force Majeure: Emergency Contractor shall not be liable to the Authority for any failure to furnish and deliver the materials and supplies if any such failure is caused by forces beyond the Emergency Contractor's control, including without limitation, actions or inactions of any governmental agencies, changes in law, strikes, lockouts, or other industrial disturbances, acts or omissions of third-parties, civil disturbances, fires, floods, earthquakes, acts of God, acts of a public enemy or terrorism, epidemics or pandemics, including issues arising out of the COVID-19 pandemic, which may include, without limitation, workforce shortages, lack of necessary supplies or Personal Protective Equipment, travel restrictions, and other restrictions resulting from public guidance and emergency orders.

4.14 Gratuities, Illegal or Improper Schemes:

- A. The Emergency Contractor shall prohibit its agents, employees and consultants from using their positions for personal financial gain, or from accepting any personal advantage from anyone under circumstances which might reasonably be interpreted as an attempt to influence the recipients in the conduct of their official duties.
- B. The Emergency Contractor or its employees shall not, under circumstances which might be reasonably interpreted as an attempt to influence the recipients in the conduct of their duties, extend any gratuity or special favor to employees of the Authority.
- C. The Authority may terminate this Agreement or any purchase order, if it is determined that gratuities in the form of entertainment, gifts or otherwise were offered or given by a Emergency Contractor, the Emergency Contractor's agent or representative to any Authority official or employee with a view towards securing favorable treatment with respect to the awarding of this Agreement or the performance of the Agreement or purchase order.
- D. The Authority may also terminate this Agreement or purchase order if it is determined that the Emergency Contractor engaged in any other illegal or improper scheme promotive of favoritism or unfairness incidental to the bidding process or the performance of the Agreement or purchase order. If it is determined that said improper or illegal acts occurred, the Authority shall be entitled to terminate the Agreement or purchase order and/or exercise any other remedy available to it under existing law.

4.15 Notice: Any notices required by this Agreement or otherwise shall be delivered by United States Postal mail or personal delivery upon the addresses hereinbefore stated. Any change in such addresses shall be required to be in writing to the other party and acknowledged as such.

ARTICLE 5 – SEVERABILITY

5.01 Any provision or part of the Agreement held to be void or unenforceable by a court of competent jurisdiction shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon the parties, which agrees that the Agreement shall be reformed to replace such stricken provisions or part thereof with a valid enforceable provision that comes as close as possible to expressing the intent of the stricken provision. The validity and enforceability of all other provisions of this Agreement shall not otherwise be affected.

ARTICLE 6 – TERMINATION

6.01 All services to be provided under this Agreement shall be provided over a two-year period from January 1, 2021 through December 31, 2022 with two potential one-year extensions on the same terms and conditions as set forth in this Agreement. The Emergency Contractor shall provide written notification to the Authority of the extension prior to the expiration of the initial term of this Agreement.

6.02 The Authority reserves the right to terminate this Agreement in the event it is found that the Certification filed by the Emergency Contractor in accordance with New York State Finance Law §139-k was intentionally false or intentionally incomplete. Upon such finding, the Authority may exercise its termination right by providing written notification to the Emergency Contractor in accordance with the written notification terms of this Agreement.

ERIE COUNTY WATER AUTHORITY

By _____
Jerome D. Schad, Chair

EDWIN J. McKENICA & SONS, INC.

By _____
Trent R. McKenica, CEO

STATE OF NEW YORK)
COUNTY OF ERIE) ss:

On the _____ day of _____, in the year 2021, before me personally came Jerome D. Schad, to me known, who, being by me duly sworn, did depose and say that he resides in Amherst, New York, that he is the Chair of the Board of Commissioners for the Erie County Water Authority described in the above instrument; and that he signed his name thereto by order of the Board of Commissioners.

Notary Public

STATE OF NEW YORK)
COUNTY OF ERIE) ss:

On the _____ day of _____, in the year 2021, before me personally came Trent R. McKenica, to me known, who, being by me duly sworn, did depose and say that he resides in _____, New York, that he is the CEO of the Corporation described in the above instrument; and that he signed his name thereto by order of the Board of Directors of said Corporation.

Notary Public

APPENDIX A

Bid Documents & Proposal

BID DOCUMENTS AND PROPOSAL

BID DESCRIPTION: Machining and Fabrication Services for the Repair of Various Erie
County Water Authority Equipment
From January 1, 2021 to December 31, 2022

PROJECT No.: 202000143

OPENING DATE: Tuesday, November 24, 2020 TIME: 11:30 a.m.

NAME OF BIDDER: EDWIN J MCKENICA & SONS, INC

PERSON AUTHORIZED TO ENTER INTO CONTRACT FOR BIDDER:

NAME: TRENT MCKENICA

TITLE CEO

SUBMISSION DATE: 11/20/2020

ADDRESS: 1200 CLINTON ST BUFFALO, NY 14206

PHONE: 716 823 4646

PERSON EMPLOYED BY THE BIDDER, WHO WILL BE RESPONSIBLE FOR OBTAINING
BONDS AND/OR INSURANCE COVERAGE

NAME: MELISSA RAY

TITLE OFFICE MANAGER

ADDRESS: 1200 CLINTON ST BUFFALO, NY 14206

PHONE: 716 823 4646

EMAIL: missy@ejmckenica.com

BID ITEMS & BID SHEET

BID DESCRIPTION: Machining and Fabrication Services for the Repair of Various Erie
County Water Authority Equipment
From January 1, 2021 to December 31, 2022

PROJECT No.: 202000143

Ship to: ERIE COUNTY WATER AUTHORITY
SERVICE CENTER
Attention: Clayton J. Johnson, Production Engineer
Address: 3030 Union Road, Buffalo, New York 14227

Item No.	Quantity	U/M	Catalog No./Description	Unit Price	Total Price
1	1,200	Hours	CNC Lathe Work	43 ⁶⁰	52,320
2	350	Hours	CNC Mill Work	43 ⁶⁰	15,260
3	100	Hours	Water Jet Work	43 ⁶⁰	4,360
4	60	Hours	Hydraulic Press Work	43 ⁶⁰	2,616
5	100	Hours	Welder	43 ⁶⁰	4,360
6	20	Hours	Babbitt Bearing Work	43 ⁶⁰	872
7	NA	NA	Subcontracted Work - Cost Plus 5%	NA	NA
8	NA	NA	Materials - Cost Plus 5%	NA	NA
TOTAL NET BID DELIVERED INSIDE					\$79,788

Total Net Bid \$79,788

NOTE: Bid results are available on the Erie County Water Authority website, www.ecwa.org (under Doing Business tab, select option Business Opportunities). No bid results will be given over the telephone.

The Bidder agrees to supply all material/equipment/labor above-described at the above-quoted price and in accordance with all applicable Bid Specifications.

NAME OF BIDDER: EDWIN J MCKENICA & SONS, INC

AUTHORIZED SIGNATURE: [Signature] DATE: 11/15/20

INFORMATION REQUIRED FROM BIDDERS
AT TIME OF CANVASS OF BIDS

To facilitate correct drawing and execution of contract, bidder shall supply full information concerning legal status:

FIRM NAME EDWIN J MCKENICA & SONS, INC

ADDRESS OF PRINCIPAL OFFICE: STREET 1200 CLINTON ST

CITY BUFFALO

AREA CODE 716 PHONE 823 4646 STATE NY ZIP 14206

Check one: CORPORATION ☒ PARTNERSHIP ☐ INDIVIDUAL ☐

INCORPORATED UNDER THE LAWS OF THE STATE OF NEW YORK

If foreign corporation, state if authorized to do business in the State of New York:

YES ☐ NO ☐

TRADE NAMES: _____

ADDRESS OF LOCAL OFFICE: STREET 1200 CLINTON ST

CITY BUFFALO

AREA CODE 716 PHONE 823-4646 STATE NY ZIP 14206

NAMES AND ADDRESSES OF PARTNERS:

TRENT MCKENICA 1200 CLINTON ST BUFFALO, NY 14206

IDENTIFICATION #: (COMPLETE ONE):

Federal Employer Identification Number: 16-1034959

Social Security Number: _____

INFORMATION REQUIRED FROM BIDDERS
REGARDING PROPOSED CONTRACT DOCUMENTS

Question 1:

The BIDDER represents that it has reviewed the Proposed Contract Documents beginning at page 36.

CHECK ONE:

- ☒ YES, BIDDER has reviewed the Proposed Contract Documents.
- ☐ NO, BIDDER has not reviewed the Proposed Contract Documents.

Failure to review the Proposed Contract Documents will result in the BIDDER being deemed NONRESPONSIVE by the Authority and therefore, ineligible to be awarded the Contract.

Question 2:

The BIDDER accepts the terms of the Proposed Contract Documents as drafted and agrees to execute the Contract as drafted if awarded the Bid.

CHECK ONE:

- ☒ YES, BIDDER accepts the Proposed Contract Documents.
- ☐ NO, BIDDER proposes the following amendment(s) to the Proposed Contract Documents:

*Insert Additional Page(s) if necessary.

Question 3:

Is the proposed Amendment a Condition of the Bid Proposal? If the Authority rejects the proposed Amendment would the Bidder withdraw its Bid?

CHECK ONE:

☐ YES, the Amendment is a Condition of the Bid Proposal.

IF the Amendment is a Condition of the Bid Proposal and the Authority rejects the Amendment, the Authority will deem the Bid withdrawn and will proceed to award the Bid to the next lowest, responsible bidder.

☒ NO, the BIDDER would like to negotiate the terms of the Amendment prior to the execution of the Contract.

Please answer Question 3 for each proposed Amendment to the Proposed Contract Documents and insert additional pages if necessary to provide such answers.

NAME OF BIDDER: EDWIN J MCKENICA & SONS, INC

AUTHORIZED SIGNATURE:  DATE: 11/15/2020

HOLD DOCUMENT UP TO THE LIGHT TO VIEW TRUE WATERMARK M&T Bank Buffalo, NY 14240		HOLD DOCUMENT UP TO THE LIGHT TO VIEW TRUE WATERMARK OFFICIAL CHECK 102415818-6		10-4/220
EJ Mckenica & Sons Inc		REMITTER		DATE 11/20/2020
PAY TO THE ORDER OF Erie County Water Authority		\$ 3,909.40		Security Features on Back
THREE THOUSAND NINE HUNDRED EIGHTY NINE and 40/100US Dollars		TWO AUTHORIZED SIGNATURES REQUIRED FOR AMOUNTS \$10,000.00 & OVER		#41 - Clinton Bailey
#41 - Clinton Bailey		Isaac Kanan		AUTHORIZED SIGNATURE
AUTHORIZED SIGNATURE		AUTHORIZED SIGNATURE		AUTHORIZED SIGNATURE

102415818 022000046 17001019915934

BID SECURITY FORM**BIDDER (Name and Address):**

EDWIN J MCKENNA & SONS, INC.
1200 CLINTON ST
BUFFALO, NY 14206

SURETY (Name and Address of Principal Place of Business):

OWNER:

Erie County Water Authority
 295 Main Street, Room 350
 Buffalo, New York 14203

BIDBID DUE DATE: 11/24/2020

PROJECT: Machining and Fabrication Services for the Repair of Various Erie County Water Authority Equipment From January 1, 2021 to December 31, 2022

Project No: 202000143

BONDBOND NUMBER: N/A CASHIER CHECK SUBMITTED

DATE: (Not later than Bid due date): _____

PENAL SUM: _____ (Words) _____ (Figures)

IN WITNESS WHEREOF, Surety and Bidder, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Bid Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

BIDDER**SURETY**

Edwin J McKenna & Sons, Inc. (Seal)
 Bidder's Name and Corporate Seal

 Surety's Name and Corporate Seal

By: [Signature] CEO
 Signature and Title

By: _____
 Signature and Title
 (Attach Power of Attorney)

Attest: _____
 Signature and Title

Attest: _____

APPENDIX A

1.01 Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to pay to OWNER upon default of Bidder the penal sum set forth on the face of this Bond.

2.01 Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by OWNER) the executed Agreement required by the Bidding Documents and any performance and payment Bonds required by the Bidding Documents.

3.01 This obligation shall be null and void if:

- A. OWNER accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by OWNER) the executed Agreement required by the Bidding Documents and any performance and payment Bonds required by the Bidding Documents, or
- B. All Bids are rejected by OWNER, or
- C. OWNER fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by paragraph 5.01 hereof).

4.01 Payment under this Bond will be due and payable upon default by Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from OWNER, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.

5.01 Surety waives notice of and any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by OWNER and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from Bid due date without Surety's written consent.

6.01 No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in paragraph 4.01 above is received by Bidder and Surety and in no case later than one year after Bid due date.

7.01 Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.

8.01 Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.

9.01 Surety shall cause to be attached to this Bond a current and effective Power or Attorney evidencing the authority of the officer, agent or representative who executed this Bond on behalf of Surety to execute, seal and deliver such Bond and bind the Surety thereby.

10.01 This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.

11.01 The term "Bid" as used herein includes a Bid, offer or proposal as applicable.

END OF BID BOND

NON-COLLUSIVE BIDDING CERTIFICATION

as mandated by Public Authority Law § 2878

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

NOTICE

(Penal Law § 210.45)

IT IS A CRIME, PUNISHABLE AS A CLASS A MISDEMEANOR UNDER THE LAWS OF THE STATE OF NEW YORK, FOR A PERSON, IN AND BY A WRITTEN INSTRUMENT, TO KNOWINGLY MAKE A FALSE STATEMENT, OR TO MAKE A FALSE STATEMENT, OR TO MAKE A STATEMENT WHICH SUCH PERSON DOES NOT BELIEVE TO BE TRUE.

BID NOT ACCEPTABLE WITHOUT FOLLOWING CERTIFICATION:

Affirmed under penalty of perjury this 20th day NOVEMBER, 2020

TERMS n 30 DELIVERY DATE AT DESTINATION _____

FIRM NAME Edwin J McKenica's Sons

ADDRESS 1200 CLINTON ST

BUFFALO, NY ZIP 14206

AUTHORIZED SIGNATURE [Signature]

TYPED NAME OF AUTHORIZED SIGNATURE Trent R McKenica

TITLE CEO TELEPHONE No. 716 823 4646

FORMS A, B, and C**STATE FINANCE LAW REQUIREMENTS**

The Erie County Water Authority (the "Authority") is a government entity, as that term is defined in State Finance Law §§ 139-j(1)(a) and 139-k(1)(a). When the Authority seeks to procure goods or services by means of an Invitation or Notice to Bid, or a Request for Proposals, the State Finance Law imposes certain restrictions on anyone who may wish to offer goods or services to the Authority as an Offerer, as that term is defined in §§ 139-j(1)(h) and 139-k(1)(h).

During the Restricted Period, as defined in §§ 139-j(1)(f) and 139-k(1)(f), when bids or proposals are being solicited, the Authority will designate a contact person with whom the Offerer may contact for information and other authorized purposes as set forth in §139-j of the State Finance Law. The designated contact is identified in the Notice to Bidders, or in the Request for Proposal. An Offerer is authorized to contact the Authority's designated contact for such purposes set forth in § 139-j(3).

Pursuant to the State Finance Law, the Authority is also required to make certain findings before making any determinations as to the qualifications and eligibility of those seeking a procurement contract, as that term is defined in State Finance Law §§ 139-j(1)(g) and 139-k(1)(g). Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings of non-responsibility occurring within a 4-year period, the Offerer will be debarred from obtaining procurement contracts with the Authority. Further information about these requirements can be found in §§139-j and 139-k of the New York State Finance Law and the Erie County Water Authority's Procurement Disclosure Policy.

The following forms will be used by the Authority to make such findings:

Form A - Offerer's Affirmation of Understanding of, and Agreement to Comply with, the Authority's Permissible Contact Requirement During the Restricted Period.

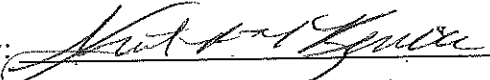
Form B - Offerer's Certification of Compliance with State Finance Law.

Form C - Offerer's Disclosure of Prior Non-Responsibility Determinations.

FORM A**Offerer's Affirmation of Understanding of, and Agreement to Comply
with, the Permissible Contact Requirements During the Restricted Period****Instructions:**

The Erie County Water Authority (the "Authority") is a government entity, as that term is defined in State Finance Law §§ 139-j(1)(a) and 139-k(1)(a). The Authority must obtain a written affirmation of understanding and agreement to comply with procedures regarding permissible contacts with the Authority in the restricted period for a procurement contract in accordance with State Finance Law §139-j and §139-k. It is required that this affirmation be obtained as early as possible in the procurement process, but no later than when the Offerer submits its proposal.

Offerer affirms that it understands and agrees to comply with the procedures of the Authority relative to permissible contacts as required by State Finance Law §139-j(3) and §139-j(6)(b).

By:  Date: 11/20/2020

Name: TRENT R. MCKENICA

Title: CEO

Contractor Name: EDWIN J. MCKENICA & SONS

Contractor Address: 1200 CLINTON ST

BUFFALO, NY 14206

FORM B**Offerer's Certification of Compliance
With State Finance Law §139-k(5)****Instructions:**

The Erie County Water Authority (the "Authority") is a government entity, as that term is defined in State Finance Law §§ 139-j(1)(a) and 139-k(1)(a). The Authority must obtain a Certification that the information submitted for a procurement contract is complete, true, and accurate regarding any prior findings of non-responsibility, such as non-responsibility pursuant to State Finance Law §139-j. The Offerer must agree to sign the Certification, under penalty of perjury, and to provide the Certification to the Authority. The Certification should be obtained as early as possible in the process, but no later than when an Offerer submits its proposal.

Offerer Certification:

I certify that all information provided to the Authority relating to the awarding of a procurement contract is complete, true, and accurate.

By: [Signature] Date: 11/20/2020

Name: TRENT R MCKENICA

Title: CEO

Contractor Name: EDWIN J MCKENICA & SONS

Contractor Address: 1200 CLINTON ST

BUFFALO, NY 14206

FORM C**Offerer's Disclosure of Prior
Non-Responsibility Determinations****Background:**

The Erie County Water Authority (the "Authority") is a government entity, as that term is defined in State Finance Law §§ 139-j(1)(a) and 139-k(1)(a). New York State Finance Law §139-k(2) obligates the Authority to obtain specific information regarding prior non-responsibility determinations with respect to State Finance Law §139-j. In accordance with State Finance Law §139-k, an Offerer must be asked to disclose whether there has been a finding of non-responsibility made within the previous four (4) years by any Governmental Entity due to: (a) a violation of State Finance Law §139-j; or (b) the intentional provision of false or incomplete information to a Government Entity.

The terms "Offerer" and "Governmental Entity" are defined in State Finance Law §§139-j(1) and §139-k(1). These sections also set forth detailed requirements about the restrictions on contacts during the procurement process. A violation of State Finance Law §139-j includes, but is not limited to, an impermissible contact during the restricted period (for example, contacting a person or entity other than the designated contact person, when such contact does not fall within one of the exemptions).

As part of its responsibility determination, State Finance Law §139-k(3) mandates consideration of whether an Offerer fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no Procurement Contract shall be awarded to any Offerer that fails to timely disclose accurate or complete information under this section, unless a finding is made that the award of the Procurement Contract to the Offerer is necessary to protect public property or public health safety, and the Offerer is the only source capable of supplying the required Article of Procurement, as that term is defined in State Finance Law §§ 139-j(1)(b) and 139-k(1)(b), within the necessary timeframe. See State Finance Law §139-j(10)(b) and §139-k(3).

Instructions:

The Authority must include a disclosure request regarding prior non-responsibility determinations in accordance with State Finance Law §139-k in its solicitation of proposals or bid documents or specifications or contract documents, as applicable, for procurement contracts. The attached form is to be completed and submitted by the individual or entity seeking to enter into a Procurement Contract. It shall be submitted to the Authority no later than when the Offerer submits its proposal.

FORM C (Continued)**Offerer's Disclosure of Prior Non-Responsibility Determinations**

Name of Individual or Entity Seeking to Enter into the Procurement Contract:

EDWIN J MCKENICA & SONSAddress: 1200 CLINTON STBUFFALO, NY 14206Name and Title of Person Submitting this Form: TRENT MCKENICA, CEOContract Procurement Number: 202000143Date: 11/20/2020

1. Has any Governmental Entity made a finding of non-responsibility regarding the individual or entity seeking to enter into the Procurement Contract in the previous four years? (Please circle): No Yes

If yes, please answer the next questions:

2. Was the basis for the finding of non-responsibility due to a violation of State Finance Law §139-j (Please circle): No Yes
3. Was the basis for the finding of non-responsibility due to the intentional provision of false or incomplete information to a Governmental Entity? (Please circle) No Yes
4. If you answered yes to any of the above questions, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Date of Finding of Non-Responsibility: _____

Basis of Finding of Non-Responsibility: _____

(Add additional pages as necessary)

FORM C (Continued)

5. Has any Governmental Entity or other governmental agency terminated or withheld a Procurement Contract with the above-named individual or entity due to the intentional provision of false or incomplete information? (Please circle): No Yes

6. If yes, please provide details below.

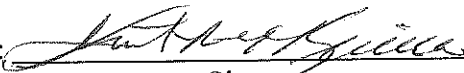
Governmental Entity: _____

Date of Termination or Withholding of Contract: _____

Basis of Termination or Withholding:

(Add additional pages as necessary)

Offerer certifies that all information provided to the Erie County Water Authority with respect to State Finance Law §139-k is complete, true, and accurate.

By:  Date: 11/20/2020
Signature

Name: TRENT R MCKENICA

Title: CEO

Contract Termination Provision**Instructions:**

A Contract Termination Provision will be included in each procurement contract governed by State Finance Law §139-k. New York State Finance Law §139-k(5) provides that every procurement contract award subject to the provisions of State Finance Law §§139-k and 139-j shall contain a provision authorizing the governmental entity to terminate the contract in the event that the certification is found to be intentionally false or intentionally incomplete. This statutory contract language authorizes, but does not mandate, termination. "Government Entity" and "procurement contract" are defined in State Finance Law §§ 139 j(1) and 139-k(l).

This required clause will be included in a covered procurement contract.

A sample of the Termination Provision is included below. If a contract is terminated in accordance with State Finance Law §139-k(5), the Erie County Water Authority (the "Authority"), as a governmental entity, is required to include a statement in the procurement record describing the basis for any action taken under the termination provision.

Sample Contract Termination Provision

The Erie County Water Authority (the "Authority"), as a governmental entity, reserves the right to terminate this contract in the event it is found that the certification filed by the Offerer in accordance with New York State Finance Law §139-k was intentionally false or intentionally incomplete. Upon such finding, the Authority may exercise its termination right by providing written notification to the Offerer in accordance with the written notification terms of this contract.

SECTION 139-L OF THE STATE FINANCE LAW
STATEMENT RELATING TO SEXUAL HARASSMENT POLICY

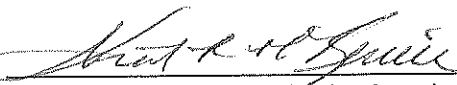
1. "Bidder" has the same meaning as the term, "Offerer," as that term is defined in State Finance Law § 139-k(1)(h), and includes anyone who submits a bid or proposal.
2. Every proposal or bid hereafter made and submitted to the Erie County Water Authority, where competitive bidding or a sealed proposal is required by statute, rule or regulation, for work or services performed or to be performed or goods sold or to be sold, shall contain the following statement subscribed by the Bidder and affirmed by such Bidder as true under penalty of perjury:

SEXUAL HARASSMENT BIDDING CERTIFICATION

- (a) "By submission of this bid/proposal, EACH BIDDER AND EACH PERSON SIGNING ON BEHALF OF ANY BIDDER CERTIFIES, AND IN THE CASE OF A JOINT BID EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, under penalty of perjury, that the Bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all its employees. Such policy shall, at a minimum, meet the requirements of Section two hundred one-g of the Labor Law."
3. A bid/proposal shall not be considered for award nor shall any award be made to a Bidder who has not complied with subdivision one of this section; provided, however, that if in any case the Bidder cannot make the foregoing certification, the Bidder shall so state and shall furnish with the bid/proposal a signed statement which sets forth in detail the reasons therefore.

The undersigned CERTIFIES, under penalty of perjury, that he is authorized to make this bid/proposal and execute this statement on sexual harassment; that he is familiar with the statements contained in ¶2(a) of this document, as well as the provisions of State Finance Law §139-L and Labor Law §201-g, and such statements are true and have been complied with by the Bidder.

EDWIN J MCKENNA & SONS, INC
(Name of Individual, Partnership or Corporation)

By 
(Person authorized to sign)

(SEAL)

APPENDIX B

Bid Specifications

BID SPECIFICATIONS**SECTION 1 - GENERAL****PART 1 GENERAL****1.01 PROJECT DESCRIPTION**

The CONTRACTOR shall furnish, upon request, specific machining and fabrication services for various Erie County Water Authority equipment.

1.02 CONTRACTOR QUALIFICATIONS

The CONTRACTOR shall submit with the bid evidence of having a minimum of ten years of experience performing work of similar size and scope. This experience must specifically include babbitt bearings. Contractor shall provide a list of references verifying his competence in this type of work.

1.03 EQUIPMENT REQUIREMENTS

- A. The CONTRACTOR's facility must meet the following minimum equipment criteria.
1. CNC Lathe with capacity for a 35-inch swing and 10 feet centers.
 2. CNC Vertical mill capacity of 30" x 65" x 31".
 3. CNC Horizontal mill capacity of 49" x 39" x 33".
 4. Horizontal hydraulic press capacity of 225 tons.
 5. Vertical hydraulic press capacity of 75 tons.
 6. Water jet or plasma cutting machine with CAD capabilities.
 7. Babbitt bearing machining tools.

1.04 WORK DESCRIPTION

A. General

1. All work shall be requested and directed by the OWNER's Pump Mechanic Crew Chief or a designated representative.
2. Work will be on an as needed basis as determined by the OWNER.
3. All labor, material, and equipment necessary to perform the work shall be provided by the CONTRACTOR.
4. CONTRACTOR must have the ability to obtain and machine/fabricate using various grades of carbon steel, stainless steel, brass, bronze, cast iron, and plastic.
5. All work performed under this contract shall not proceed until the CONTRACTOR provides a written Scope of Work and cost estimate and authorization has been provided by the OWNER.
6. All materials must be new, unused, and approved by the OWNER.

7. Any subcontracted work must have prior approval by the OWNER.

1.05 QUALITY ASSURANCE

A. General

1. The OWNER shall be allowed on-site at any time for inspection of the work.
2. All parts shall be fabricated to within 0.005" of requested dimensions.
3. If the OWNER is not satisfied with the work quality and progress, it shall have the right to terminate this Contract at any time upon seven (7) days written notice.

PART 2 - PAYMENT

2.01 MEASUREMENT AND PAYMENT

A. General

1. Payment shall be at the hourly rates stipulated in the Contract.
2. The hourly rate shall include an amount, considered by the CONTRACTOR, adequate to cover the CONTRACTOR's labor, tools, equipment, and overhead and profit.
3. Overhead and profit can be charged on subcontracted work and materials only at a rate not to exceed 5%. The CONTRACTOR shall provide copies of work and material invoices with the bills for the specified project.

2.02 CONTRACT DURATION

If the OWNER and CONTRACTOR mutually agree to continue this Contract after the 31st day of December, 2022, it shall be extended for not more than two 1-year terms on the same terms and conditions provided for herein. CONTRACTOR shall provide written notification to the OWNER of the contract extension prior to the expiration of the initial term of this Contract.

END OF BID SPECIFICATIONS

APPENDIX C

INSURANCE REQUIREMENTS ECWA PROJECT No. 202000143

Insurance specs:

The following minimum insurance requirements shall apply to vendors providing services to the Erie County Water Authority (the Authority). If a service or project, in the opinion of the Authority, represents an unusual or exceptional risk, the Authority may establish additional insurance requirements for that service or project. All insurance required herein shall be obtained at the sole cost and expense of the contractor, including deductibles and self-insured retentions, and shall be in full force and effect on the contract commencement date and for the duration of the contract. These requirements include but are not limited to the minimum insurance requirements.

Insurance Requirements:

a. Workers Compensation:

Part 1: Workers Compensation: Statutory

Part 2: Employers Liability: \$1,000,000.

Note: If New York State domiciled employees are used, coverage to be New York Statutory for both Parts 1 and 2

b. New York Disability Benefits Liability: Statutory coverage if New York State domiciled employees are used.

c. Commercial General Liability:

- \$2,000,000. General Aggregate
- \$2,000,000. Products/Completed Operations Aggregate
- \$1,000,000. Each Occurrence
- \$1,000,000. Personal Injury/Advertising Liability
- Erie County Water Authority to be scheduled as an Additional Insured for both on-going and completed operations (attach Additional Insured endorsement to Certificate of Insurance)
- Insurance to be primary and non-contributory

d. Automobile Liability:

- \$1,000,000. Each Accident
- Erie County Water Authority to be scheduled as an Additional Insured.

e. Umbrella Liability:

- \$1,000,000. Each Occurrence
- \$1,000,000. Aggregate
- Erie County Water Authority to be scheduled as an Additional Insured.

Certificates of Insurance to be provided to the Authority prior to start of work as follows:

ACORD 25 including copy of Additional Insured Endorsement.

Note: If coverage provided for NYS domiciled employees require Forms C 105.2 and DB 120.1 for Workers Compensation and NYS DBL.

Certificates of Insurance, on forms approved by the New York State Department of Insurance, must be submitted to the Authority prior to the award of contract. Renewals of Certificates of Insurance, on forms approved by the New York State Department of Insurance, must be received by the Authority 30 days prior to the expiration of the insurance policy period.

Certificates of Insurance and renewals, on forms approved by the New York State Department of Insurance, must be submitted to the Authority prior to the award of contract. Each insurance carrier issuing a Certificate of Insurance shall be rated by A. M. Best no lower than "A-" with a Financial Strength Code (FSC) of at least VII. The professional service provider shall name the Authority, its officers, agents and employees as additional insured on a Primary and Non-Contributory Basis, including a Waiver of Subrogation endorsement (form CG 20 26 11 85 or equivalent), on all applicable liability policies. Any liability coverage on a "claims made" basis should be designated as such on the Certificate of Insurance. Such insurance shall continue through the term of this Agreement and vendor shall purchase at his sole expense either 1) an Extended Reporting Endorsement (also, known as Tail Coverage); or 2) Prior Acts Coverage from new insurer with a retroactive date back to the date of, or prior to, the inception of this Agreement; or 3) demonstrate through Certificates of Insurance that vendor has Maintained continuous coverage with the same or original insurer. Coverage provided under items; 1), 2), or 3) will continue as long as the law allows.

To avoid confusion with similar insurance company names and to properly identify the insurance company, please make sure that the insurer's National Association of Insurance Commissioners (N.A.I.C.) identifying number or A. M. Best identifying number appears on the Certificate of Insurance. Also, at the top of the Certificate of Insurance, please list the project number.

Acceptance of a Certificate of Insurance and/or approval by the Authority shall not be construed to relieve the outside vendor of any obligations, responsibilities or liabilities.

Certificates of Insurance should be e-mailed to mmusarra@ecwa.org or mailed to Ms. Molly Jo Musarra, Claim Representative/Risk Manager Erie County Water Authority, 295 Main Street – Room 350, Buffalo, New York 14203-2494, or If you have any questions you can contact Ms. Musarra by e-mail or phone (716) 849-8465.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

01/05/2021

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Tompkins Insurance Agencies, Inc 90 Main Street Batavia NY 14020	CONTACT NAME: Michael Fridman PHONE (A/C, No, Ext): (888) 261-2688 FAX (A/C, No): (888) 339-8337 E-MAIL ADDRESS: mfridman@tompkinsfinancial.com
INSURED Edwin J McKenica & Sons Inc 1200 Clinton St Buffalo NY 14206-2824	INSURER(S) AFFORDING COVERAGE INSURER A: Hartford Underwriters Insurance Company INSURER B: Hartford Ins Co of Illinois INSURER C: INSURER D: INSURER E: INSURER F:
	NAIC # 30104 38288

COVERAGES**CERTIFICATE NUMBER:** 20-21 GLAU**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	Y	Y	01SBAAH8U3C	12/31/2020	12/31/2021	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 Additional Insured \$
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY	Y	Y	01UECFH0354	12/31/2020	12/31/2021	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ Medical payments \$ 5,000
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$	Y	Y	01SBAAH8U3C	12/31/2020	12/31/2021	EACH OCCURRENCE \$ 1,000,000 AGGREGATE \$ 1,000,000 PER STATUTE OTH-ER \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A				E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

ECWA Project # 202000143

APPROVED /MJM

CERTIFICATE HOLDER**CANCELLATION**Erie County Water Authority3030 Union Road
3030 Union Road

Cheektowaga

NY 14227

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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CERTIFICATE OF WORKERS' COMPENSATION INSURANCE

***** 161034959
PROFESSIONAL RISK
ORGANIZERS, INC.
P.O. BOX 3483
NEW YORK NY 10008-3483



SCAN TO VALIDATE
AND SUBSCRIBE

POLICYHOLDER EDWIN J. MCKENICA & SONS INC. 1200 CLINTON STREET BUFFALO NY 14206		CERTIFICATE HOLDER ERIE COUNTY WATER AUTHORITY 3030 UNION ROAD CHEEKTOWAGA NY 14227	
POLICY NUMBER G2090 337-3	CERTIFICATE NUMBER 992550	POLICY PERIOD 01/01/2021 TO 01/01/2022	DATE 1/12/2021

THIS IS TO CERTIFY THAT THE POLICYHOLDER NAMED ABOVE IS INSURED WITH THE NEW YORK STATE INSURANCE FUND UNDER POLICY NO. 2090 337-3, COVERING THE ENTIRE OBLIGATION OF THIS POLICYHOLDER FOR WORKERS' COMPENSATION UNDER THE NEW YORK WORKERS' COMPENSATION LAW WITH RESPECT TO ALL OPERATIONS IN THE STATE OF NEW YORK, EXCEPT AS INDICATED BELOW.

IF YOU WISH TO RECEIVE NOTIFICATIONS REGARDING SAID POLICY, INCLUDING ANY NOTIFICATION OF CANCELLATIONS, OR TO VALIDATE THIS CERTIFICATE, VISIT OUR WEBSITE AT [HTTPS://WWW.NYSIF.COM/CERT/CERTVAL.ASP](https://www.nysif.com/cert/certval.asp). THE NEW YORK STATE INSURANCE FUND IS NOT LIABLE IN THE EVENT OF FAILURE TO GIVE SUCH NOTIFICATIONS.

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS NOR INSURANCE COVERAGE UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICY.

NEW YORK STATE INSURANCE FUND



DIRECTOR, INSURANCE FUND UNDERWRITING

33 of 34

VALIDATION NUMBER: 64471536

